



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/428,692 10/28/99 CARR

D 18475-016

0232/1118

NOT ASSIGNED

DAVID B BERNSTEIN
MINTZ LEVIN COHN FERRIS
GLOVSKY AND POPEO PC
ONE FINANCIAL CENTER
BOSTON MA 02111



1614

DATE MAILED: 11/18/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s); identifying this application by the above Application Number and Filing Date; is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

N Black

Customer Service Center
Initial Patent Examination Division (703) 308-1202



- Sector #
#3

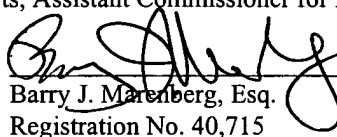
ATTORNEY'S DOCKET NO.: 18475-016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Daniel B. Carr et al.
Serial No.: 09/428,692
Filed: October 28, 1999
For: NOVEL CHIMERIC ANALGESIC PEPTIDES
Examiner: Not Yet Assigned
Group Art Unit: 1614

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC. 20231 on the 15th day of May, 2000.


Barry J. Marenberg, Esq.
Registration No. 40,715

Box Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the present application are the following documents:

- [X] Response to Notice to File Missing Parts;
- [X] Copy of Notice to File Missing Parts of Application;
- [X] Check No. 5964 in the amount of \$130.00 to cover the missing parts fee;
- [X] Signed Declaration for Patent Application;
- [X] Petition for Four-Month Extension of Time;
- [X] Check No. 5965 in the amount of \$1850.00 to cover the extension of time; and
- [X] Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at 617/542-6000, Boston, Massachusetts.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Ref. No. 18475-016. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,



A handwritten signature in black ink, appearing to be "David B. Bernstein", written over a horizontal line.

David B. Bernstein, Esq.
Registration No. 32,112
Barry J. Marenberg, Esq.
Registration No. 40,715
Mintz, Levin Cohn, Ferris,
Glovsky and Popeo, P.C.
One Financial Center
Boston, Massachusetts 02111
Telephone 617/542-6000
Attorneys for Applicant

Date: May 15, 2000

Attorney Docket No. 18475-016

TRADOCS:1329954.1(\$\$7601!.DOC)



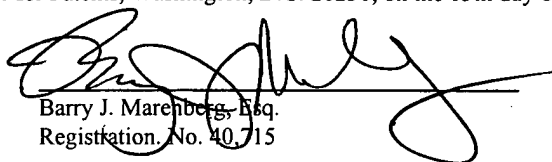
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Registration No. 40,715

Box Missing Parts

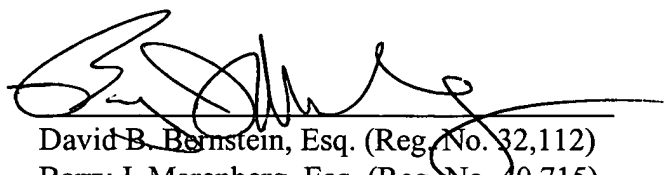
Assistant Commissioner for Patents
Washington, D.C.

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Responsive to the Notice To File Missing Parts mailed November 18, 1999, Applicants submit herein payment of the surcharge for late filing (\$130.00), petition for four month extension of time to respond to missing parts and payment of \$1850.00 for petition fee, and executed Declaration for Patent Application.

Respectfully submitted,



David B. Bernstein, Esq. (Reg. No. 32,112)
Barry J. Marenberg, Esq. (Reg. No. 40,715)
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